



NATIONAL FEDERATION OF URBAN COOPERATIVE BANKS & CREDIT SOCIETIES LTD.
B-14, 3rd Floor, A- Block Shopping Complex, Naraina Vihar, Ring Road, New Delhi-110028,
Telephone : 011 – 25771170 , 25770108 Fax : 011 – 25779710, Web.: nafcub.org

Ref. No.: NF\R&D\2021-22

Dated: 20th July 2021

**The President/Chief Executive,
All Urban Cooperative Banks**

Sir,

**RBI ON LINE PROGRAMME ON INTERNAL AUDIT AND COMPLIANCE
MANAGEMENT FOR UCBs : FROM 09th - 11th, AUGUST 2021**

We are happy to inform you that an Online Programme on Internal Audit and Compliance Management for Urban Cooperative Banks is being organized from **9th - 11th August, 2021 from 11.00 am to 05.00 pm in the Webex platform** in collaboration with **Reserve Bank of India College of Agricultural Banking, Pune.**

The main objective of the programme is to impart knowledge to the participating delegates about the significance and coverage of the various types of inspections & audits and also to make the participants aware of common irregularities observed during RBI Inspection and enable them to provide proper compliance. The programme will also include other important topics such as:-

Module 1 – Introduction to various inspections and audits

- * Statutory provisions of the BR Act, 1949 (AACS) and RBI Act, 1934 pertaining to UCBs.
- * RBI inspection - structure & focus, common irregularities and compliance management.
- * Role of Internal Audit & Concurrent Audit as a risk management tool.
- * Tax Audit
- * Information System Audit and Cyber Security

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Module 2 – Common areas of irregularities

- * Adherence to KYC/ AML guidelines
- * Regulatory Compliance on maintenance of CRR/ SLR
- * Regulatory compliance on maintenance of CRAR/ Net-worth.
- * Regulatory Compliance on Priority Sector Lending
- * Adherence to Grievance Redressal related guidelines.

The programme is beneficial for Chief Executives / Managing Directors, General Managers, Senior Managers, Senior Officers and other supervisory staff dealing with Audit, Inspection and follow up etc. of Urban Cooperative Banks. The programme fee is as under:

- * Rs. 2,400/- + 18% GST per participant for those banks with **deposit above 100 Crores.**
- * Rs.1,200/- + 18% GST per participant for those banks with **deposit below 100 Crores.**

We request you to kindly send the names of your delegate indicating their Designation, mobile number and email alongwith programme fee. The nominations will be confirmed on receipt of programme fee. The details of our Bank accounts are attached. Please send your nominations at our email - nafcubtraining@rediffmail.com. **The programme will be on CISCO Webex platform. The id and password will be shared with you on receipt of nomination(s) along with participation fee. For further details please contact Shri Anil Chauhan on his mobile number-9810788101.**

The admission in the programme will be on first cum first served basis.

Thanking you,

Yours faithfully,



**(YOGESH SHARMA)
CHIEF EXECUTIVE
Mob:9810805695**

NEFT/RTGS DETAILS

BENEFICIARY/ NAME : **NAFCUB TRAINING FUND ACCOUNT**
Bank Name : **SARASWAT COOPERATIVE BANK LIMITED**

Bank Address : **20 -A , LAJPAT NAGAR- IV**
RING ROAD, NEW DELHI - 110024

Bank Branch : **LAJPAT NAGAR, DELHI**

Bank Account No : **Saving Bank A/c No. 230200100000771**

IFSC Code : **SRCB0000230**